Please complete the form in the fields provided below and print when complete. Electronic submissions are not accepted.

Do not print any portion of this document double-sided





New IU Auditorium/ IU Event Services Employee,

Listed below is important information in regards to completing your employment paperwork along with additional information that will be helpful to you. Please read the information below to know what documents are needed and what additional information you should expect after being hired. <u>If you are currently employed by IU</u>: please fill out your University ID# and Legal Name on the *Indiana University –Personal Profile Form* (ED). This will be the only information needed from you.

Not Currently Employed by IU:

Identification Required with completed paperwork (Choose either Option 1 or Option 2):

Option 1: Unexpired Passport OR Unexpired Passport Card

Option 2: One Document from List A

AND

One Document from List B

<u>List A</u>
Unexpired Driver License w/ Photo

<u>List B</u>
Social 9

Unexpired Driver License w/ Photo
School ID w/ Photo
Birth Certificate
Voter registration Card
Vative American Tribal Document
Unexpired Driver License w/ Photo
Social Security Card
Birth Certificate
U.S. Citizen ID Card
Certification of Birth Abroad

You must bring identification documents with the completed paperwork. Only ORIGINAL documents (no copies/scans/faxes accepted).

- Complete all forms for employment on the IUAuditorium.com website & print to submit to the payroll processor.
- Once the Auditorium/Event Services Payroll Office has received your completed employment paperwork and the
 identifications required above, you will be sent 2 emails from GIS Compli-9 Systems to complete section 1 of the Federal
 Form, I-9 Employment Eligibility or be asked to complete the I-9 in the payroll office at the Auditorium. <u>This form needs</u>
 to be completed on or before your first day of employment. If not, you will not be allowed to work until
 completed.
- Once your paperwork is processed, you will receive an email from the IU Office of Financial Management asking you to
 enroll in **Duo Authentication**, (https://access.iu.edu/duo/auth). This must be completed before you will be able to
 complete the remaining steps below.
- Go to One.IU and visit the <u>New Employee Portal</u> and complete your state tax withholding form (WH-4), federal tax withholding form (W-4), your direct deposit enrollment form, & electronic W-2 consent form. If the tax withholding forms are not completed, then default tax values will be withheld (single 0, work locality).
- Direct Deposit is required for all University employees. If you do not complete the Direct Deposit enrollment form, your
 first payment will be a check that you will be required to pick up in person. At that time you will be asked to complete the
 direct deposit enrollment form. If you are unable to enroll in direct deposit, you will be issued a Visa pay card for future
 payments.
- Your payroll advice can be viewed online in the Employee Center of One.IU within the Payroll & Tax section. You will not receive a paper pay advice.
- If you have questions on your pay check amount, payroll dates, and salary. Please review the Pay Date schedule and then contact your Payroll Processor for additional questions. Indiana University is on a bi-weekly pay system.
 Pay dates can be viewed here: https://fms.iu.edu/payroll/general-staff/pay-dates/
- If you have additional questions in regards to Duo Authentication, please refer to IU Knowledge Base at: https://kb.iu.edu/d/bfgm

All paperwork and identification must be turned into:

Eva Hicks, Payroll Processor IU Auditorium, Room 011B 1211 E. 7th Street Bloomington, IN 47405 812-856-2629 or <u>erhicks@indiana.edu</u>



Employment Application Form

Indiana University is an Equal Opportunity/Affirmative Action Employer.

Name (print)	
(Last) (First)	(Middle)
Are you over 18 years of age? ☐ yes ☐ no	Notice: Indiana University is an Equal Opportunity/Equal Access/Affirmative Action
If required for the position, do you hold a valid driver's license? ☐ yes ☐ no	institution. IU intends to maintain an alcohol and drug-free workplace and to comply with the Drug Free Workplace Act of 1988 and its amendments.
Are you legally authorized to work in the United States? ☐ yes ☐ no	To that end, all employees must comply with the University's Substance Free Workplace policy. Annual security and fire safety reports containing
Are you a current Indiana University employee? ☐ yes ☐ no	policy statements and crime and fire statistics for Indiana University campuses are available at protect.iu.edu/police/crimestats/.
If yes, date started, position, and location	
Have you ever been employed by Indiana University in the past? ☐ yes ☐ no	Please read and sign the following statement: I certify that all information provided in all my application material is true. I understand that any false statement made herein is sufficient reason for
If yes, your name (if different), date started and left, position, and location	rejection of this application or termination of subsequent employment regardless of date of discovery. I authorize the university to investigate all statements made in my application material for employment. I authorize such educational institutions and employers and others (and their agents or employers) to respond to questions conscribed information given in
Have you ever been convicted of a criminal offense that has not been expunged, restricted, or sealed by a judge? □ yes □ no	or employees) to respond to questions concerning information given in this application material and I further release from liability such former employers, institutions, or persons providing such information to the university.
Convicted means you were declared guilty by a judge or you pleaded guilty in court. A conviction may have even taken place if you did not pay a fine or spend time in jail or in prison. A conviction could have been for either a misdemeanor or a felony. Certain traffic offenses rise to the level of a misdemeanor or felony and must be declared; examples include driving under the influence, driving on a suspended license, reckless driving, leaving the scene of an accident, and vehicular homicide. A criminal history	I understand that an offer of employment from Indiana University will be contingent on the receipt and evaluation of the background check report. Disclosure of convictions within this application does not automatically disqualify me for employment; however, information obtained from the investigation will be used in the employment review process.
investigation is done on each new employee, and employment with the University is conditional, subject to the findings of a criminal history investigation. Answering yes to this question does not automatically disqualify you for employment; however, information obtained from the investigation will be used in the employment review process.	I agree that the university may require my participation in and contribution to retirement programs while employed. I also understand that the direct deposit of my paycheck to my personal checking or savings account is a condition of employment. I understand that no offer of benefits such as
If yes, you must disclose for each offense: date, charge, city, state and disposition: (Include type of offense (e.g., misdemeanor, felony) and judgment (e.g., guilty, conditional dismissal).)	a pension plan, insurance, vacation, or salary rate is final until cleared by Human Resources, and fully approved by appropriate university officials.
	I have carefully read and understand this statement and, by my written or electronic signature below, note such.
All questions and statements must be answered in full or your application will not be processed.	(Signature of Applicant) (Date)

Name	(print) _	(Last)				(I	irst)						(Middle)	
Addres	ss	(Street)				(City)						(State)	(Zip o	code)
Phone	#s	(Home)			(Business)			(Cell)		E-mail				
What t	vne of	work will you acc	ent? (check a	ıll that an	nlv)									
☐ Full 1		☐ Part Time	Days	ııı eride ap	☐ Professional	☐ Mainte	nance	☐ Custodia	I □ IT/cor	mouter				
☐ Tem		☐ Seasonal	☐ Evening		☐ Dining Service	☐ Clerical	iaricc	☐ Technica		r (please spe	cify)			
- ICIII	porury	- Scasonai	☐ Weeken			- Ciericai		□ reciliica		(рісазе зре	Ciry)			
EDUC!	TION		□ Weeken	us										
EDUC	ATION						Ц	lighest Grade		Univ	ersity or Co	ollege Mai	or/Degree	
		Name			City	State		completed	Graduated		ear obtained			es only
High S					,			•		,			<u>'</u>	
or GED)								□ yes □ no)				
Univer	-													
or Coll									□ yes □ no)				
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WORK	HISTO	RY												
			the nast seven	voars Ro	gin with the most re	cent nosition	Δ sun	unlemental work	history form	is availahla i	if needed			
From	To	Name of Firm	the pust seven	Address		Duties			Chistory form	Superviso		alary	Reason fo	or Leavino
110111	10	Ivallie Of Fifth		Address	'	Duties	ilivoi	veu		Superviso	,ı Ja	aiai y	Neason	or Leaving
Specia	l skills/	/foreign language	es/certificatio	ns/licens	es	·				·	·			
Specia	l equin	oment/computer l	hardware or s	oftware/	industrial machine	rv/video or	teleco	nferencina						
3 F 2 2 1 W						,,								



PERSONAL PROFILE FORM (ED)

Type of appointment:	(Includes Resident Interns)	☐ Student Academic ☐ S	staff
Direct deposit is mandatory for all IU em enroll prior to the first paycheck, all paym campus Payroll office.			
☐ Current Employee	University ID#	t	(10-digit University ID)
☐ Former Employee	Social Security	Number:	
☐ No previous employment with Indi	ana University Social Security	y Number:	
Legal Name	First	Middle	Suffix
Note: Legal name must match that as reco your records with that office.		nistration (SSA). If your name is no	ot correct with SSA, you must update
Preferred Name			
Last	First	Middle	Suffix
Passport Name			
(Non-U.S. Citizens only) Last	First	Middle	Suffix
If not U.S. Citizen, primary countrest. Home Address (your legal residence) Street City The home address (your	State legal residence) is necessary for the	(Apt#) Zip Code e university to mail tax information required for tax reporting to the IRS	Phone Country (including W2s) and benefit
Non-IU email address			
Ethnic Group	7 .		
Are you Hispanic or Latino?			
What is your race? Select one or m			
	Native: A person having origins ugh tribal affiliation or communi		North America, and who maintain
		es of the Far East, Southeast Asia, of Ialaysia, Pakistan, the Philippine I	or the Indian subcontinent includ- slands, Thailand, and Vietnam
☐ Black/African American: A	person having origins in any of	the Black racial groups of Africa	
☐ Native Hawaiian or Other other Pacific Islands	Pacific Islander: A person havin	g origins in any of the original pe	oples of Hawaii, Guam, Samoa, or
☐ White: A person having ori	gins in any of the original peopl	es of Europe, North Africa, or the	Middle East

- OVER -

	No Military Service
	Disabled Veteran: (i) A veteran of the U.S. military, naval or air service who is entitled to compensation (or who but for the receipt of military retired pay would be entitled to compensation) under laws administered by the Secretary of Veterans Affairs, or (ii) a person who was discharged or released from active duty because of a service-connected disability.
	Recently Separated Veteran: Any veteran during the three-year period beginning on the date of such veteran's discharge or release from active duty in the U.S. military, ground, naval, or air service.
	Other Protected Veteran: A veteran who served on active duty in the U.S. military, ground, naval, or air service during a war or in a campaign or expedition for which a campaign badge has been authorized, under the laws administered by the Department of Defense.
	Armed Forces Service Medal Veteran: Any veteran who, while serving on active duty in the U.S. military, ground, naval, or air service, participated in a United States military operation for which an Armed Forces service medal was awarded pursuant to Executive Order 12985.
Signa	nture Date
Conf and v	fidentiality - Under federal law Indiana University is required to collect and report data regarding the gender, racial and ethnic composition veteran status of its workforce. This information is used for reporting and administrative purposes.

Departments:

Military Status

After the hiring process is complete, please shred this form. Additionally, please note that:

- Academic employees must complete the PS and PSA personal profile forms and submit to the campus Academic Affairs office.
- Staff employees must complete the PSA personal profile form and submit to the campus Human Resources office.

INDIANA UNIVERSITY - PERSONAL PROFILE FORM (PSA)

Check type of appointment:	☐ Academic (Includes Resident Interns))
Legal Name		
Legal NameLast	First	Middle
University ID#	(your 10-digit Employee ID)	
Last four digits of Social Secur	rity Number	
of the Rehabilitation Act of affirmative action plan you form is strictly voluntary ar our affirmative action plan.	f 1973. If you are a person with a disa may identify yourself as such by ansend the specific information requested. Failure to answer these questions with	persons with disabilities pursuant to section 503 sability and wish to be considered under our swering the questions below. Completing this is intended for use solely in connection with will have no negative impact on your ential in accordance with the Americans with
		disability is anyone who has a physical or mental rity as defined in the Americans with Disabilities
Are you a person with a dis Yes No	sability as described above?	
If you answered yes to the a Yes No	above, do you wish to be considered i	in our affirmative action program?
Signature		Date

Departments: Academic appointment forms are submitted to the Campus Academic Affairs Office. Staff and hourly appointment forms are submitted to the campus Human Resource Office.



INDIANA UNIVERSITY

Consumer Disclosure

Indiana University will obtain one or more consumer reports about you for the following purposes: 1) for employment purposes which may include hiring, re-assignment, or promotion; or 2) for any employee, student, or volunteer affiliated with Indiana University who will be working in a program involving children for whom the University has not obtained a background check within the past five years.

Indiana University will obtain these reports through General Information Services, Inc. (GIS), or another consumer reporting agency. GIS's address is P.O. Box 353, Chain, SC 29036. GIS's telephone number is (866) 265-4917. GIS's website is www.geninfo.com, where you can find information about GIS's privacy practices.

To prepare the reports, GIS may investigate your address history, social security number validity, criminal records, driving record, and any other publicly held information.

You may inspect GIS's files about you (in person, by mail or by phone) by providing identification to GIS. If GIS obtains any information about you by interview, you have the right to obtain a complete and accurate disclosure of the scope and nature of the investigation performed.

Please sign below to acknowledge your receipt of this disclosure.

Signature	Date	
Printed Name		
For IU Academic Departmental Use Only		
Date that candidate accepted offer:		

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INDIANA UNIVERSITY

Consumer Report Consent & Authorization

Indiana University requires a background check for the following individuals: i) all new employees, including those that have received a conditional offer of employment; ii) current employees as may be required for continued employment pursuant to the University's Background Check policy; and iii) any employee, student, or volunteer affiliated with the University who will be working in a program involving children, for whom the University has not obtained a background check within the past five years. Indiana University will obtain these reports through General Information Services, Inc. (GIS), or another consumer reporting agency. GIS's address is P.O. Box 353, Chain, SC 29036. GIS's telephone number is (866) 265-4917. GIS's website is www.geninfo.com, where you can find information about GIS's privacy practices.

This Consent & Authorization allows: i) GIS to request information about you from any public information source; ii) anyone to provide such information about you to GIS; iii) GIS to provide Indiana University one or more reports based on that information ("Background Check"); and iv) the University to share your Background Check with others for legitimate business purposes related to your affiliation with the University. Information requested may include a criminal history check and a sex offender registry check, as well as verification of address history, social security number validity, driving record and other publicly held information. The Background Check may include information about your character, general reputation, personal characteristics, and mode of living. If GIS obtains any information by interview, you have the right to obtain a complete and accurate disclosure of the scope and nature of the investigation performed.

Please review the Background Check Consent Statement below applicable to you, and provide your signature at bottom.

THIS SECTION APPLIES TO UNIVERSITY EMPLOYEES OR INDIVIDUALS OFFERED EMPLOYMENT WITH THE UNIVERSITY:

- I understand that an offer of employment from the University for any position is contingent on the receipt and evaluation of my Background Check. In order to facilitate the required Background Check, I will provide the University my social security number and date of birth (if not currently on file). I understand that failure to provide consent or the required information will result in the withdrawal of any offer of employment.
- I understand that following my initial hire and during the course of my employment, the University may obtain follow-up Background Checks at any time. This consent will apply throughout my employment in any position at Indiana University to the extent permitted by law, unless I specifically revoke this consent in writing. I understand that revocation of this consent may result in termination of my employment.
- I understand that any information obtained from a Background Check may also be considered by the University in the course of any current or future engagement, including employment or volunteering, with the University.
- I further understand that if the Background Check indicates an outstanding warrant issued against me, the University will share that information with appropriate law enforcement agencies.

THIS SECTION APPLIES TO YOU IF YOU ARE PARTICIPATING IN A PROGRAM INVOLVING CHILDREN:

- I understand that my ability to participate in any program involving children as a University employee, student or volunteer, is contingent on the receipt and evaluation of my Background Check. The terms "program" and "children" are defined in University Policy PS-01 entitled "Programs Involving Children," which is available by going to policies.iu.edu, clicking on "Administration & Operations," and going to the "Public Safety and Institutional Assurance" list of policies.
- I agree that in order to facilitate the required Background Check, I will provide the University my social
 security number and date of birth (if not currently on file). I understand that failure to provide consent or the
 required information will result in the denial of or termination of my participation in any program involving
 children.
- I understand that following the receipt of the first acceptable Background Check that supports my participation in any program involving children, the University may obtain follow-up Background Checks at any time during my participation in such programs, to the extent permitted by law, unless I specifically revoke this consent in writing. I understand that revocation of this consent may result in the immediate termination of my participation with any program involving children.
- I further understand that any information obtained from a Background Check may also be considered by the University in the course of any current or future engagement, including employment, with the University.
- I further understand that that if the Background Check indicates that an outstanding warrant has been issued against me, the University will share that information with appropriate law enforcement agencies.

For international checks

To perform background screening of employees and employment applicants, we have contracted with General Information Services, a company located in the United States. In order for GIS to carry out its functions, information about you will be transferred to the United States. By signing below, you consent to information about you being transferred to the United States for the purposes of background screening.

The Consumer Financial Protection Bureau's "Summary of Your Rights under the Fair Credit Reporting Act" is attached to this authorization. For New York applicants, a copy of New York's law on the use of criminal records is attached.

I have read and understand all of the information above, and by my signature below, consent to and hereby grant authorization to obtain and release of the background check reports described above to the University within the terms of this Statement.

Name (Print)			
(First)	(Middle)	(Last)	
Address		Telephone	
		•	
City	State	Zip	
Signature		Date	
0181141414		Date	

This signed Statement, in original, faxed, photocopied, or electronic form, will be valid for any such reports that Indiana University may request.

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Para información en español, visite <u>www.consumerfinance.gov/learnmore</u> o escribe a la Consumer Financial Protection Bureau, 1700 G Street N.W., Washington, DC 20552.

A Summary of Your Rights Under the Fair Credit Reporting Act

The federal Fair Credit Reporting Act (FCRA) promotes the accuracy, fairness, and privacy of information in the files of consumer reporting agencies. There are many types of consumer reporting agencies, including credit bureaus and specialty agencies (such as agencies that sell information about check writing histories, medical records, and rental history records). Here is a summary of your major rights under the FCRA. For more information, including information about additional rights, go to www.consumerfinance.gov/learnmore or write to: Consumer Financial Protection Bureau, 1700 G Street N.W., Washington, DC 20552.

- You must be told if information in your file has been used against you. Anyone who uses a credit report or another type of consumer report to deny your application for credit, insurance, or employment or to take another adverse action against you must tell you, and must give you the name, address, and phone number of the agency that provided the information.
- You have the right to know what is in your file. You may request and obtain all the information about you in the files of a consumer reporting agency (your "file disclosure"). You will be required to provide proper identification, which may include your Social Security number. In many cases, the disclosure will be free. You are entitled to a free file disclosure if:
- a person has taken adverse action against you because of information in your credit report;
- you are the victim of identity theft and place a fraud alert in your file;
- your file contains inaccurate information as a result of fraud;
- you are on public assistance;
- you are unemployed but expect to apply for employment within 60 days. In addition, all consumers are entitled to one free disclosure every 12 months upon request from each nationwide credit bureau and from nationwide specialty consumer reporting agencies. See www.consumerfinance.gov/learnmore for additional information.
- You have the right to ask for a credit score. Credit scores are numerical summaries of your credit-worthiness based on information from credit bureaus. You may request a credit score from consumer reporting agencies that create scores or distribute scores used in residential real property loans, but you will have to pay for it. In some mortgage transactions, you will receive credit score information for free from the mortgage lender.
- You have the right to dispute incomplete or inaccurate information. If you identify information in your file that is incomplete or inaccurate, and report it to the consumer reporting agency, the agency must investigate unless your dispute is frivolous. See www.consumerfinance.gov/learnmore for an explanation of dispute procedures.
- Consumer reporting agencies must correct or delete inaccurate, incomplete, or unverifiable information. Inaccurate, incomplete or unverifiable information must be removed or corrected, usually within 30 days. However, a consumer reporting agency may continue to report information it has verified as accurate.
- Consumer reporting agencies may not report outdated negative information. In most cases, a consumer reporting agency may not report negative information that is more than seven years old, or bankruptcies that are more than 10 years old.

- Access to your file is limited. A consumer reporting agency may provide information about you only to people with a valid need usually to consider an application with a creditor, insurer, employer, landlord, or other business. The FCRA specifies those with a valid need for access.
- You must give your consent for reports to be provided to employers. A consumer reporting agency may not give out information about you to your employer, or a potential employer, without your written consent given to the employer. Written consent generally is not required in the trucking industry. For more information, go to www.consumerfinance.gov/learnmore.
- You may limit "prescreened" offers of credit and insurance you get based on information in your credit report. Unsolicited "prescreened" offers for credit and insurance must include a toll-free phone number you can call if you choose to remove your name and address from the lists these offers are based on. You may opt-out with the nationwide credit bureaus at 1-888-567-8688.
- You may seek damages from violators. If a consumer reporting agency, or, in some cases, a user of consumer reports or a furnisher of information to a consumer reporting agency violates the FCRA, you may be able to sue in state or federal court.
- **Identity theft victims and active duty military personnel have additional rights.** For more information, visit www.consumerfinance.gov/learnmore.

States may enforce the FCRA, and many states have their own consumer reporting laws. In some cases, you may have more rights under state law. For more information, contact your state or local consumer protection agency or your state Attorney General. For information about your federal rights, contact:

TYPE OF BUSINESS:	CONTACT:
1.a. Banks, savings associations, and credit unions with total assets	a. Bureau of Consumer Financial Protection
of over \$10 billion and their affiliates.	1700 G Street NW
	Washington, DC 20552
b. Such affiliates that are not banks, savings associations, or credit unions also should list, in addition to the Bureau:	b. Federal Trade Commission: Consumer Response Center – FCRA Washington, DC 20580 (877) 382-4357
2. To the extent not included in item 1 above:	
a. National banks, federal savings associations, and federal	a. Office of the Comptroller of the Currency
branches and federal agencies of foreign banks	Customer Assistance Group
	1301 McKinney Street, Suite 3450
	Houston, TX 77010-9050
b. State member banks, branches and agencies of foreign banks	b. Federal Reserve Consumer Help Center
(other than federal branches, federal agencies, and insured state	P.O. Box 1200
branches of foreign banks), commercial lending companies owned or controlled by foreign banks, and organizations operating under section 25 or 25A of the Federal Reserve Act	Minneapolis, MN 55480
c. Nonmember Insured Banks, Insured State Branches of Foreign	c. FDIC Consumer Response Center
Banks, and insured state savings associations	1100 Walnut Street, Box #11
	Kansas City, MO 64106
d. Federal Credit Unions	d. National Credit Union Administration
	Office of Consumer Protection (OCP)
	Division of Consumer Compliance and Outreach (DCCO)
	1775 Duke Street
	Alexandria, VA 22314
3. Air carriers	Asst. General Counsel for Aviation Enforcement & Proceedings
	Department of Transportation
	400 Seventh Street SW
	Washington, DC 20590
4. Creditors Subject to Surface Transportation Board	Office of Proceedings, Surface Transportation Board

	Department of Transportation
	1925 K Street NW
	Washington, DC 20423
5. Creditors Subject to Packers and Stockyards Act	Nearest Packers and Stockyards Administration area supervisor
6. Small Business Investment Companies	Associate Deputy Administrator for Capital Access
	United States Small Business Administration
	406 Third Street, SW, 8th Floor
	Washington, DC 20416
7. Brokers and Dealers	Securities and Exchange Commission
	100 F St NE
	Washington, DC 20549
8. Federal Land Banks, Federal Land Bank Associations, Federal	Farm Credit Administration
Intermediate Credit Banks, and Production Credit Associations	1501 Farm Credit Drive
	McLean, VA 22102-5090
9. Retailers, Finance Companies, and All Other Creditors Not Listed	FTC Regional Office for region in which the creditor operates or
Above	Federal Trade Commission: Consumer Response Center – FCRA
	Washington, DC 20580
	(877) 382-4357

Additional Information about the Fair Credit Reporting Act

The Summary of Your Rights provided above does not reflect certain amendments contained in the Consumer Reporting Employment Clarification Act of 1998. The following additional information may be important for you:

- Records of convictions of crimes can be reported regardless of when they occurred.
- If you apply for a job that is covered by the Department of Transportation's authority to establish qualifications and the maximum hours for that job and you apply by mail, telephone, computer, or other similar means, your consent to a consumer report may validly be obtained orally, in writing, or electronically. If an adverse action is taken against you because of a consumer report for which you gave your consent over the telephone, computer, or similar means, you may be informed of the adverse action and the name, address and phone number of the consumer reporting agency, orally, in writing, or electronically.

New York Corrections Law Article 23-A

LICENSURE AND EMPLOYMENT OF PERSONS PREVIOUSLY

CONVICTED OF ONE OR MORE CRIMINAL OFFENSES

Section 750. Definitions.

Section 751. Applicability.

Section 752. Unfair discrimination against persons previously convicted of one or more criminal offenses prohibited.

Section 753. Factors to be considered concerning a previous criminal conviction; presumption.

Section 754. Written statement upon denial of license or employment.

Section 755. Enforcement.

- **§ 750. Definitions.** For the purposes of this article, the following terms shall have the following meanings:
- (1) "Public agency" means the state or any local subdivision thereof, or any state or local department, agency, board or commission.
- (2) "Private employer" means any person, company, corporation, labor organization or association which employs ten or more persons.
- (3) "Direct relationship" means that the nature of criminal conduct for which the person was convicted has a direct bearing on his fitness or ability to perform one or more of the duties or responsibilities necessarily related to the license, opportunity, or job in question.
- (4) "License" means any certificate, license, permit or grant of permission required by the laws of this state, its political subdivisions or instrumentalities as a condition for the lawful practice of any occupation, employment, trade, vocation, business, or profession. Provided, however, that "license" shall not, for the purposes of this article, include any license or permit to own, possess, carry, or fire any explosive, pistol, handgun, rifle, shotgun, or other firearm.
- (5) "Employment" means any occupation, vocation or employment, or any form of vocational or educational training. Provided, however, that "employment" shall not, for the purposes of this article, include membership in any law enforcement agency.
- § 751. Applicability. The provisions of this article shall apply to any application by any person for a license or employment at any public or private employer, who has previously been convicted of one or more criminal offenses in this state or in any other jurisdiction, and to any license or employment held by any person whose conviction of one or more criminal offenses in this state or in any other jurisdiction preceded such employment or granting of a license, except where a mandatory forfeiture, disability or bar to employment is imposed by law, and has not been removed by an executive pardon, certificate of relief from disabilities or certificate of good conduct. Nothing in this article shall be construed to affect any right an employer may have with respect to an intentional misrepresentation in connection with an application for employment made by a prospective employee or previously made by a current employee.
- § 752. Unfair discrimination against persons previously convicted of one or more criminal offenses prohibited. No application for any license or employment, and no employment or license held by an individual, to which the provisions of this article are applicable, shall be denied or acted upon adversely by reason of the individual's having been previously convicted of one or more criminal offenses, or by reason of a finding of lack of "good moral character" when such finding is based upon the fact that the individual has previously been convicted of one or more criminal offenses, unless:
- (1) there is a direct relationship between one or more of the previous criminal offenses and the specific license or employment sought or held by the individual; or

(2) the issuance or continuation of the license or the granting or continuation of the employment would involve an unreasonable risk to property or to the safety or welfare of specific individuals or the general public.

§ 753. Factors to be considered concerning a previous criminal conviction; presumption.

- 1. In making a determination pursuant to section seven hundred fifty-two of this chapter, the public agency or private employer shall consider the following factors:
 - (a) The public policy of this state, as expressed in this act, to encourage the licensure and employment of persons previously convicted of one or more criminal offenses.
 - (b) The specific duties and responsibilities necessarily related to the license or employment sought or held by the person.
 - (c) The bearing, if any, the criminal offense or offenses for which the person was previously convicted will have on his fitness or ability to perform one or more such duties or responsibilities.
 - (d) The time which has elapsed since the occurrence of the criminal offense or offenses.
 - (e) The age of the person at the time of occurrence of the criminal offense or offenses.
 - (f) The seriousness of the offense or offenses.
 - (g) Any information produced by the person, or produced on his behalf, in regard to his rehabilitation and good conduct.
 - (h) The legitimate interest of the public agency or private employer in protecting property, and the safety and welfare of specific individuals or the general public.
- 2. In making a determination pursuant to section seven hundred fifty-two of this chapter, the public agency or private employer shall also give consideration to a certificate of relief from disabilities or a certificate of good conduct issued to the applicant, which certificate shall create a presumption of rehabilitation in regard to the offense or offenses specified therein.
- § 754. Written statement upon denial of license or employment. At the request of any person previously convicted of one or more criminal offenses who has been denied a license or employment, a public agency or private employer shall provide, within thirty days of a request, a written statement setting forth the reasons for such denial.

§ 755. Enforcement.

- 1. In relation to actions by public agencies, the provisions of this article shall be enforceable by a proceeding brought pursuant to article seventy-eight of the civil practice law and rules.
- 2. In relation to actions by private employers, the provisions of this article shall be enforceable by the division of human rights pursuant to the powers and procedures set forth in article fifteen of the executive law, and, concurrently, by the New York city commission on human rights.